



Attorney Docket No. YO998-522

221 Tech Center 2100

#4 Declaration
SWS 7-20-00

Patent Application

Applicant(s): L.D. Comerford et al.
Docket No.: YO998-522
Serial No.: 09/460,913
Filing Date: December 14, 1999
Group: 2741
Examiner: Unassigned

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GROUP 2741

Title: METHODS AND APPARATUS FOR CONTINGENT TRANSFER AND
EXECUTION OF SPOKEN LANGUAGE INTERFACES

LETTER

Office of Initial Patent Examination
Crystal Plaza 2, 7th Floor
Washington, D.C. 20231

Att.: Ms. Lashonnah Tyson

Dear Ms. Tyson:

Further to your telephone conversation today with my assistant, Judith Ryan, I am enclosing an original executed Declaration for the above-referenced application which had been previously filed with the U.S. Patent and Trademark Office on April 3, 2000, in response to an outstanding Notice to File Missing Parts dated February 4, 2000. Also enclosed is a copy of the return date-stamped post card by the OIPE. The Declaration had erroneously been forwarded to us with a Notice of Recordation of Assignment Document received in this case. We would appreciate it if you could match this Declaration with the file, and call the undersigned attorney at (516) 759-2946 to confirm that this has been done.

Please let me know if you require any additional information. Thank you very much for your assistance.

Respectfully submitted,

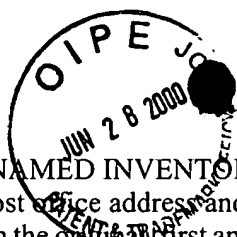
William E. Lewis

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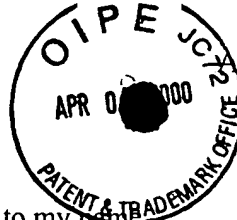
Reg. No. 39,274

Attorney for Applicant(s)

Date: June 22, 2000
Ryan & Mason, L.L.P.
90 Forest Avenue
Locust Valley, New York 11560
(516) 759-2946



DECLARATION



Attorney Docket No. YO998-522

AS A BELOW NAMED INVENTOR, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE: METHODS AND APPARATUS FOR CONTINGENT TRANSFER AND EXECUTION OF SPOKEN LANGUAGE INTERFACES

the specification of which is attached hereto or indicates an attorney docket no. YO998-522, or:

☒ was filed in the U.S. Patent & Trademark Office on December 14, 1999 and assigned Serial No. 09/460,913,

☐ and (if applicable) was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, or §119(e) of any United States provisional application(s), listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed:

Yes [] No []

(Application Number) (Country) (Day/Month/Year filed)

Yes [] No []

(Application Number) (Country) (Day/Month/Year filed)

I hereby claim the benefit under Title 35, U.S. Code §120, of any United States application(s), or §365(c), of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International applications(s) in the manner provided by the first paragraph of Title 35, U.S. Code §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial Number) (Filing Date) (STATUS: patented, pending, abandoned)

(Application Serial Number) (Filing Date) (STATUS: patented, pending, abandoned)

I hereby appoint the following attorneys: **MANNY W. SCHECTER**, Reg. No. 31,722; **TERRY J. ILARDI**, Reg. No. 29,936; **CHRISTOPHER A. HUGHES**, Reg. No. 26,914; **EDWARD A. PENNINGTON**, Reg. No. 32,588; **JOHN E. HOEL**, Reg. No. 26,279; **JOSEPH C. REDMOND, Jr.**, Reg. No. 18,753; **DOUGLAS W. CAMERON**, Reg. No. 31,596; **STEPHEN C. KAUFMAN**, Reg. No. 29,551; **JAY P. SBROLLINI**, Reg. No. 36,266; **WAYNE L. ELLENBOGEN**, Reg. No. 43,602; **ROBERT M. TREPP**, Reg. No. 25,933; **LOUIS P. HERZBERG**, Reg. No. 41,500; **LOUIS J. PERCELLO**, Reg. No. 33,206; **PAUL J. OTTERSTEDT**, Reg. No. 37,411; **DANIEL P. MORRIS**, Reg. No. 32,053; and **DAVID M. SHOFI**, Reg. No. 39,835; each of them of **INTERNATIONAL BUSINESS MACHINES CORPORATION**, Thomas J. Watson Research Center, P.O. Box 218, Yorktown Heights, New York 10598; to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

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RYAN & MASON, L.L.P.
90 Forest Avenue
Locust Valley, NY 11560
Tel.: (516) 759-2946


I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OR SOLE INVENTOR: Liam David Comerford Citizenship U.S.A.

Inventor's signature:  Date: ~~X~~ MARCH 17, 2000

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FULL NAME OF SECOND JOINT INVENTOR: David Carl Frank Citizenship U.S.A.

Inventor's signature:  Date: 28 MAR 2000

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